Welcome to Matrix Wealth, your trusted partner for all your investment needs. We are proud to offer our valued clients a comprehensive range of investment services, including our sophisticated investor checker for depository participants. Our team of experienced professionals is dedicated to providing you with personalized investment solutions that meet your unique financial goals. With our state-of-the-art technology and unparalleled customer service, we strive to make investing easy and accessible for everyone.

Our investor checker is designed to offer our clients a seamless and hassle-free investment experience. As depository participants, we understand the importance of providing our clients with easy access to their investment accounts, and our platform is the perfect tool to help you achieve just that.

Our investor checker for depository participants offers a wide range of features and benefits, including:

- **Secure Access:** Our platform employs secure access to your investment account, ensuring that your personal and financial information is kept safe and secure. In addition, our platform allows you to access your account from anywhere, using your transaction history, and make investment decisions from the comfort of your own home or office.
- **24/7 Availability:** Matrix Wealth sub-platform investor checker is available 24/7, giving you the freedom to manage your investments at any time, from anywhere in the world. This means that you can stay up-to-date with the latest market trends and make informed decisions when it matters most to you, without any delays.
- **User-Friendly Interface:** Our user-friendly interface is easy to navigate, making it simple for you to view your investment portfolio and track the performance of your investments. With our user-friendly interface and real-time updates, managing your investments has never been easier or more convenient.
- **Expert Support:** Our well-platform allows you to track the performance of your investments in real-time, so you can make informed decisions about your portfolio. In addition, our investment tracking feature also provides detailed analysis and insights into market trends, helping you to identify potential opportunities for growth and maximize your returns.
- **Easy Transactions:** Matrix Wealth well-platform investor checker for depository participants allows you to easily buy and sell investments, transfer funds, and manage your investment portfolio, all from the convenience of your own home.
- **Customizable Alerts:** Our well-platform, designed with the investor checker for depository participants in mind, offers the ability to establish personalized alerts, enabling you to remain up-to-date with your investments and the market as a whole. You can customize your alerts to receive notifications via email or text message whenever your investments hit a predetermined price point, or when significant market changes occur.
- **Expert Support:** Our team of investment experts is always available to provide you with personalized support and advice, ensuring that you have the information and guidance you need to make informed investment decisions. Whether you are a beginner or an experienced investor, our expert support team is always ready to assist you.

At Matrix Wealth, we are committed to providing our clients with the highest level of service and support. Our checker for depository participants is just one example of how we are working to make investing easier, more accessible, and more profitable for our clients.

In addition to the features and benefits mentioned above, our well-platform investor checker for depository participants also offers a range of investment options to suit your individual needs and preferences. We offer a wide range of investment products, including stocks, bonds, mutual funds, exchange-traded funds (ETFs), and more.

Our investment experts are always available to help you make informed investment decisions and provide you with personalized advice tailored to your investment goals and risk tolerance. We understand that every investor is unique, and we work closely with our clients to help them achieve their investment objectives.

In all of our interactions with our clients, Matrix Wealth is dedicated to openness and honesty. We support establishing lasting connections based on respect, honesty, and trust. You can have access to your investments and investment accounts at any time, so as your investment partner, we're here for you.

So, if you are looking for a reliable and trusted partner for your investment needs, look no further than Matrix Wealth. Contact us today to learn more about our well-platform investor checker for depository participants and our other investment services. We look forward to helping you achieve your investment goals.

Disclosure Of Investor Charter For Depositories And Depository Participants

As per the SEBI vide their letter no. SEBI/HO/MIRSD/DOP/CIR/P/2017/147 dated December 15, 2021, has advised the Depository and Depository Participants (DPs) to bring the Investor Charter to the notice of clients.

VISION

Towards making Indian Securities Market – Transparent, Efficient, & Investor friendly by providing safe, reliable,transparent and trusted record keeping platform for investors to trade and transfer securities in dematerialized form.

MISSION

- To provide investor education and awareness to enable investors to protect their interests.
- To provide the highest standards of investor education, investor awareness and investor protection and to enhance investor Protection and create awareness about investor Rights.

Details Of Business Transacted By The Depository And Depository Participant.(DP).

A depository is an organization which holds securities of investors in electronic form and facilitates securities transactions across market participants – Exchanges, Clearing Corporations, Depository Participants (DPs), Issuers and Investors in both primary as well as secondary markets. The depository carries out its activities through its agents which undertaken as Depository Participants (DP). Details available on the link **https://www.nsdlindia.com/DP/dplist.asp**

Description Of Services Provided By The Depository Through Depository Participants (DPs) To Investors

(1) Basic Services

S.No.	Brief about the Activity / Service	Expected Timelines for processing by the DP after receipt of proper documents
1	Dematerialization of securities	7 Days
2	Rematerialization of securities	7 Days
3	Mutual Fund Conversion / Dematerialization	5 Days
4	Re-conversion / redematerialization of Mutual funds units	7 Days
5	Transmission of Securities	7 Days
6	Registering Pledge Request	15 Days
7	Closure of Demat Account	30 Days
8	Settlement Instructions	For T+1 day settlement, Participants shall accept instructions from the Clients, in physical form up to 4 p.m. (in case of electronic instructions up to 6.30 p.m.) on T day for pay-in of securities. For T+0 day settlements, Participants shall accept DPI instructions from the clients, till 11.30 AM on T day. Note: 'T' refers Trade Day'

(2) Depositories provide special services like pledge, hypothecation, internet-based services in addition to their core services and these include

S.No.	Type of Activity / Service	Brief about the Activity / Service
1	Value added Service a. Basic Service Demat Account (BSDA) b. Transposition cum dematerialisation c. Linkages with Clearing Member (CM)	Depositories also provide value added services such as: The facility of BSDA with limited services for eligible individuals was introduced with the objective of achieving wider financial inclusion and re-popularizing the capital markets. As Annual Maintenance Charges (AMC) for BSDA is NIL if the value of holding is up to ₹ 2 lakh. In case of holding between ₹ 2 lakh to ₹ 4 lakh, AMC is 50% of the AMC as mentioned for the normal demat account. The BSDA facility is available for the Resident Individual Shareholders holding shares in their own name in single name only. In case of transposition cum dematerialisation, client can get securities dematerialised in the same account if the names appearing on the certificates match with the names in which the account has been opened but the sequence in which the names appear is different. This is available for security certificates along with the Transposition Form and Demat Request Form. For actual delivery of securities to the clearing corner for the selling brokers and delivery of securities from the clearing corner to the buying broker.
2	Consolidated Account Statement (CAS)	CAS is issued 10 days from the end of the month (if there were transactions in the previous month or half yearly (if no transactions).
3	Digitization of services provided by the depositories a. E-account opening b. Online Instructions for Intermediaries c. e-DIS / Demat Gateway d. e-CAS / eCAS e. Miscellaneous services	Depositories offer below technology solutions and e-facilities to their demat account holders through DPs: Account opening through digital mode, popularly known as the "Video KYC account opening", wherein investor intending to open the demat account can visit DP website, fill in the required information, submit the required documents, conduct video IPV and demat account gets opened without visiting DPs office. Online instructions for execution-oriented transfer services like Speed-e (NSDL) & Easiest (CDSL) empower a demat account holder to manage his/her demat account online. Investors can submit their instructions to DP in a secure manner through the internet. Investors can also use Basic Services Demat Account (BSDA) to submit Online instructions and pledge instructions including margin pledge from their demat account. The instruction facilities are also available on mobile applications through android, windows and iOS platforms. Investors can give instructions for transfer of securities through e-DIS system from physical DIS. Here, for on-market transfer of securities, investors need to provide instructions to their DP in the prescribed manner. For this purpose, DP may provide a web-site to the investor or may accept instructions through e-mail or a single window mailback. Additionally, for CDSL demat accounts, DP may provide Demat Gateway facility to the investors. Self-generated DIS can be printed at Depositories end. Necessary risk containment measures are built in the system for such DIS. Consolidated Account Statements are available online and could also be accessed through mailback to the investors in view their holdings in demat account. Transaction alerts through SMS, e-Mail facilities, chatbots for instantaneously responding to investor queries etc. have also been developed.
4	Arbitration Proceedings Investor Grievance Redressal Committee of Depository	The investor may also avail arbitration mechanism set up in the Systems and Business Rules/Operating instructions of the Depository in relation to any dispute that may arise between him and the Depository Participant. The investor may refer to the Rules/Bye-laws/Operating Instructions of the Depository in relation to the scope of arbitration in the matter of grievances if unresolved. If a securities investor is aroused, then the investor has the option to refer the complaint grievance to the Grievance Redressal Committee (GRC) of the concerned Depository. The GRC is a quasi-judicial body and has the powers of a civil court in respect of matters relating to complaint grievance, including the power to summon and examine the

(3)For the Multi-level complaint resolution mechanism available at the Depositories - Click Here

Guidance Pertaining To Special Circumstances Related To Market Activities: Termination Of The Depository Participant

S.No.	Type of Special Circumstances	Treatment for the Activity/ Service
1	Details of the activities to be undertaken in case a participant no longer meets the eligibility criteria laid down by the Depository or has been suspended or is making request for the Stock Exchanges Participants surrenders the participation by its own wish.	Clients will have the right to transfer all its securities to any other participant of its choice without any charges to be levied by the transferor within 30 days from the date of intimation by the way of letter/email.

DO's and DON'T's

DO'S

01. Always deal with a SEBI registered Depository Participant for opening a demat account.
02. Read all the documents carefully documents before signing them.
03. Before granting the power of attorney to operate your demat account to an intermediary like a stock broker, Portfolio management services (PMS) etc, carefully examine the scope and implications of the power.

04. Always maintain adequate margin in dedicated margin account with your broker.

05. Accept the Delivery Instruction Slip (DIS) book from your DP only (pre-printed with a serial number along with your Client ID) and keep it in safe custody and do not sign or issue blank or partially filled DIS.
Always mention the details like ISIN, number of securities accurately in case of any queries, please contact your DP or broker and it should be signed by all demat account holders. In case of transfer of shares, mention the purpose for which the shares are being transferred, for e.g. sale, gift, collateral, etc.

06. Inform any change in your Personal Information (for example address & Bank Account details, email ID, Mobile number) to your demat account in the prescribed format and obtain confirmation of modification in your demat account.

07. Monitor your Demat/Trading Account and ensure that it is not used by some other person.

08. Always ensure that the entire demat and trade related to your demat account are the same as provided at the time of account opening/updation.

09. Demise is mandatory for any transfer of securities post demise of the concerned holder.

10. If you have any grievance in respect of your transactions, please send a registered email ID of depositories or you may lodge the same with SEBI online at http://scores.gov.in/scores/Welcome.html.

11. Keep a record of all documents given to DP issued and account statements received.

12. All investors are required to verify the transaction statement carefully for all debits and credits in your account. In case of any unauthorized debit or credit, inform the DP in your Depository System.

13. Appoint a nominee to facilitate your heirs to receive the securities in your demat account, on completion of the necessary procedures.

14. Register for Depository's internet-based facility or download mobile app of the depository to monitor your holdings.

15. Ensure that, both, your holding and transaction statements are received periodically as instructed to your DP. You are entitled to receive a transaction statement every month if you have any transactions.

DON'TS

01. No Payment to be made in the name of employee of intermediary.
02. Do not leave your instruction slip book with anyone else.
03. Do not sign blank DIS as it is equivalent to a cheque issued.
04. Do not share password of your online trading and demat account with anyone.
05. Do not share One Time Password (OTP) received from the depository with anyone. These are meant to be used by you only.
06. Do not share login credentials of e-facilities provided by the depositories such as e-DIS/demat gateway, SPEED-e/easiest etc. with anyone else.
07. Do not follow herd mentality for investments. Seek expert and professional advice for your investments.
08. Beware of assured/fixed returns.

Rights of Investors

INVESTOR RIGHTS

01. Receive a copy of KYC, copy of account opening documents.
02. No minimum balance is required to be maintained in a demat account.
03. No charges are payable for opening of demat accounts.
04. If executed, receive a copy of Power of Attorney. However, Power of Attorney is not a mandatory requirement as per SEBI / Stock Exchanges. You have the right to revoke any authorization given at any time.

05. You can open more than one demat account in the same name with single DP/MultiDPs.
06. Receive statement of accounts periodically. In case of any discrepancies in statements, lodge the same with the DP immediately. If the DP does not respond, take up the matter with the Depositories.
07. Pledge and let any other interest or encumbrance can be created on demat holdings.
08. Right to give standing instructions with regard to the crediting of securities in demat account.
09. Investors can exercise its right to freeze/unfreeze his/her demat account in specific securities/ specific quantity of securities in the account, maintained with the DP.
10. In case of any grievances, Investor has right to approach Participant or Depository or SEBIfor getting the same resolved within prescribed timelines.
11. Every eligible investor has a right to vote on matters or resolutions proposed by the companies for which the Depositories have enabled an internet based e-voting platform.
12. Receive information about changes and fees. Any change/tariff agreed upon shall not increase unless a notice in writing of not less than thirty days is given to the investor.
13. Right to indemnification for any loss caused due to the negligence of the Depository or the participant.
14. Right to opt out of the Depository system in respect of any security.

Responsibilities of Investors

INVESTOR RESPONSIBILITIES

01. Deal with a SEBI registered DP for opening demat account, KYC and Depository activities.
02. Provide complete documents for account opening and KYC (Know Your Client). Fill all thestipulated details in Account Opening Form / KYC form in own handwriting and cancel out the blanks.
03. Read all documents and conditions being agreed before signing them for opening a demat account.
04. Accept the Delivery Instruction Slip (DIS) book from DP only (pre-printed with a serial number along with client ID) and keep it in safe custody and do not sign or issue blank/ partially filled DIS.
05. Always mention the details like ISIN, number of securities accurately in case of any queries, please contact your DP or broker and it should be signed by all demat account holders.
06. Inform any change in your Personal Information (for example address & Bank Account details, email ID, Mobile number) to your demat account in the prescribed format and obtain confirmation of modification in your demat account.
07. Monitor your Demat/Trading Account and ensure that it is not used by some other person.
08. Always ensure that the entire demat and trade related to your demat account are the same as provided at the time of account opening/updation.
09. Demise is mandatory for any transfer of securities post demise of the concerned holder.
10. If you have any grievance in respect of your transactions, please send a registered email IDs of depositories or you may lodge the same with SEBI online at http://scores.gov.in/scores/Welcome.html.
11. Keep a record of all documents given to DP issued and account statements received.
12. All investors are required to verify the transaction statement carefully for all debits and credits in your account. In case of any unauthorized debit or credit, inform the DP in your Depository System.
13. Appoint a nominee to facilitate your heirs to receive the securities in your demat account, on completion of the necessary procedures.
14. Register for Depository's internet-based facility or download mobile app of the depository to monitor your holdings.
15. Ensure that, both, your holding and transaction statements are received periodically as instructed to your DP. You are entitled to receive a transaction statement every month if you have any transactions.

Code of Conduct for Depositories

(Part D of Third Schedule of SEBI (D & P) regulations, 2018)

A DEPOSITORY SHALL:

01. always abide by the provisions of the Act, Depositories Act, 1996, any Rules or Regulations framed thereunder, circulars, guidelines and any other directions issued by the Board from time to time.
02. adopt appropriate due diligence measures.
03. take effective measures to ensure implementation of proper risk management framework and good governance practices.
04. take appropriate measures towards investor protection and education of investors.
05. treat all its applicationmembers in a fair and transparent manner.
06. promptly inform the Board of violations of the provisions of the Act, Depositories Act, 1996, rules, regulations, circulars, guidelines or any other directions by any of its board or issuer's agent.
07. take a proactive and responsible attitude towards safeguarding the interests of investors, integrity of depository's systems and the securities market.
08. endeavour for introduction of best business practices amongst itself and its members.
09. act in utmost good faith and shall avoid conflict of interest of the Depository.
10. not indulge in unfair competition, which is likely to harm the interests of any other Depository, their participants or investors or is likely to place them in a disadvantageous position while competing for or executing any assignments.
11. segregate roles and responsibilities of key management personnel within the depository including:
a. Chief Executive Officer or Managing Director or Whole-time Director;
b. Defining delegation of powers to each position;
c. Assigning regulatory, risk management and compliance aspects to business and support teams.
12. be responsible for the acts or omissions of its employees in respect of the conduct of its business.
13. monitor the compliance of the rules and regulations by the participants and shall further ensure that their conduct is in a manner that will safeguard the interest of investors and the securities market.

Code of Conduct for Participants

(Part A of Third Schedule of SEBI (D & P) regulations, 2018)

01. A participant shall make all efforts to protect the interests of investors.
02. A participant shall at always endeavor to:
(a) ensure that the interests of the clients having regard to the client's needs and the environments and be met professional skills.
(b) ensure that all professional dealings are effected in a prompt, effective and efficient manner.
(c) maintain high standards of integrity in the conduct of its business.
(d) render high standard of investment advice.
03. A participant shall maintain high standards of integrity and fair dealing with its clients and other intermediaries, in the conduct of its business.
04. A participant shall be prompt and diligent in opening of a beneficial owner account, despatch of the dematerialisation request form, remateralisation request form and execution of client instructions and in all the other activities undertaken by him on behalf of the beneficial owners.
05. A participant shall not make any representation to all the complaints against it or in respect of the activities carried out by it as quickly as possible, and not later than one month of receipt.
06. A participant shall not increase chargeable to the services rendered and give reasonable advance notice to the beneficial owners.
07. A participant shall not indulge in any unfair competition, which is likely to harm the interests of other participants or investors or is likely to place such other participants in a disadvantageous position while competing for or executing any assignments.
08. A participant shall not make any exaggerated statement whether oral or written to the clients either about its qualifications or capability to render certain services or about its achievements in regard to services rendered to the clients.
09. A participant shall not divulge to other clients, press or any other person any information about its clients which has come to its knowledge except with the approvalauthorisation of the clients or where it is required to be disclosed in pursuance of any legal obligation or in compliance with any law.
10. A participant shall co-operate with the Board as and when required.
11. A participant shall maintain the required level of net worth at all times as stipulated and abide by the provisions of the Act, Rules, Regulations and circulars and directions issued by the Board. The participant shall also comply with the award of the Ombudsman passed under the Securities and Exchange Board of India (Ombudsman) Regulations, 2003.
12. A participant shall not encourage sub-broking activities unless specifically permitted by the Depository and in accordance with the directions issued by the Board.
13. A participant shall not neglect or fail or refuse to submit to the Board or other agencies any which it is registered, such books, documents, correspondence, and papers or any part thereof as may be demanded/requested from time to time.
14. A participant shall ensure that the Board is promptly informed about any action, legal proceedings, etc., initiated against it in respect of material breach or noncompliance by it of any law, rule, regulation, guidelines, directions, circulars, etc., as may be applicable.
15. A participant shall provide adequate freedom and powers to its compliance officer for the effective discharge of his duties.
16. A participant shall ensure that it has satisfactory internal control procedures in place as well as adequate financial and operational capabilities which can be reasonably expected to take care of its future arising due to its business activities and liabilities as and when they are expected to be discharged.
17. A participant shall be responsible for the acts or omissions of its employees and agents in respect of the conduct of its business.
18. A participant shall ensure that the senior management, particularly persons involved in the management, exercises due skill and care in all relevant interactions about the business on a timely basis.
19. A participant shall ensure that good corporate policies and corporate governance are in place.

Complaints Resolution Process At Depositories:

DP-Investor Complaints Data Monthly

DP - Investor Complaints Data for Month Ending July 2026

S.No.	Received From	Carried Forward from Previous Month	Received During the Month	Total Pending	Resolved	Pending At End Of The Month	Average Resolution Time (in Days)
1	Directly From Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Depository	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

DP-Trend Of Monthly Disposals Of Complaints

S.No	Year	Carried Forward From Previous Year	Received	Resolved	Pending
1	August - 2025	0	0	0	0
2	September - 2025	0	0	0	0
3	October - 2025	0	0	0	0
4	November - 2025	0	0	0	0
5	December - 2025	0	0	0	0
6	January - 2026	0	0	0	0
7	February - 2026	0	0	0	0
8	March - 2026	0	0	0	0
9	April - 2026	0	0	0	0
10	May - 2026	0	0	0	0
11	June - 2026	0	0	0	0
12	July - 2026	0	0	0	0
	Grand Total	0	0	0	0

DP-Trend Of Annual Disposal Of Complaints

DP-Trend of Annual Disposal of Complaints

S.No	Year	Carried Forward From Previous Year	Received During The Year	Resolved During The Year	Pending At End Of The Year
1	2017-2018	0	0	0	0
2	2018-2019	0	0	0	0
3	2019-2020	0	0	0	0
4	2020-2021	0	0	0	0
5	2021-2022	0	0	0	0
6	2022-2023	0	0	0	0
7	2023-2024	0	0	0	0
8	2024-2025	0	0	0	0
9	2025 - 2026	0	0	0	0
10	2026-2027	0	0	0	0
	Grand Total	0	0	0	0